

Attorney's Docket No. DVL-003PAT

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Donald V. Lightcap, Jr. et al.

Serial No.: 09/128,753

Group No.: 1616

Filed: August 4, 1998

Examiner: S. Mark Clardy

For: COMPOSITION, METHOD, AND APPARATUS FOR PROTECTING PLANTS FROM
INJURY CAUSED BY FROST OR FREEZING TEMPERATURES

Assistant Commissioner for Patents

Washington, D.C. 20231



AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is

X a small entity. A verified statement:

___ is attached.

X was already filed.

___ other than a small entity.

RECEIVED

DEC 09 1999

TECH CENTER 1600/2900

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

FACSIMILE

X I deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

___ Transmitted by facsimile to the Patent and Trademark Office

Mark F. Smith
Signature

Mark F. Smith
(Type or print name of person certifying)

Date: Nov 29, 1999

12/07/1999 SLURB 00000029 09128753 55.00 0P
01 FC:215

FEE FOR CLAIMS

4. The fee for claims (37 CFR 1.16(b)-(d)) has been calculated as shown below:

| (Col. 1) | | (Col. 2) | (Col. 3) | SMALL ENTITY | | OTHER THAN A SMALL ENTITY | |
|---|-----------|--------------------------------------|------------------|------------------------|---------------|------------------------------|---------------|
| CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NO PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE | ADDIT. FEE | OR RATE | ADDIT. FEE |
| TOTAL * | MINUS ** | = | | x11= | \$ | X22= | \$ |
| INDEP * | MINUS *** | = | | X41= | \$ | X82= | \$ |
| ___ FIRST PRESENTATION OF MULTIPLE DEP. CLAIM | | | | +125= | \$ | +125= | \$ |
| | | | | TOTAL ADDIT. FEE \$ | OR | TOTAL ADDIT. FEE \$ | |

* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

** If the "Highest No Previously Paid for" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest No. Previously Paid for" IN THIS SPACE is less than 3, enter "3"

The "Highest No. Previously Paid for" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number or claims originally filed.

WARNING "After final rejection or action (Section 1.113) amendments may be made canceling claims or complying with any requirement of form which has been made." 37 CFR 1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

(c) X No additional fee for claims is required

OR

(d) ___ Total additional fee for claims required \$ _____

FEE PAYMENT

5. X Attached is a check in the sum of \$ 55.00.

___ Charge Account No. _____
the sum of \$ _____.

A duplicate of this transmittal is attached.

EXTENSION OF TERM

NOTE- "Extension Of Time in Patent Cases (Supplement Amendments) - if a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action; an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely filed response placed the application in condition for allowance. Of course if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-5).

NOTE- See 37 CFR 1.645 for extensions of time in interference proceedings, and 37 CFR 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

(complete (a) or (b), as applicable)

(a) x Applicant petitions for an extension of time under 37 CFR 1.136
(fees: 37 CFR 1.17(a)-(d) for the total number of months checked below:

| Extension (months) | Fee for other than small entity | Fee for small entity |
|----------------------------|------------------------------------|-------------------------|
| <u> X </u> one month | \$ 110.00 | \$ 55.00 |
| <u> </u> two months | \$ 400.00 | \$200.00 |
| <u> </u> three months | \$ 950.00 | \$475.00 |
| <u> </u> four months | \$1,510.00 | \$755.00 |

Fee \$ 55.00

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next term, if applicable)

 An extension for months has already been secured and the fee paid therefore of \$
 is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$

OR

(b) Applicant believes that no extension of term is required. However this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE DEFICIENCY


NOTE: If there is a fee deficiency and there is no authorization to charge an account additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum six-month period has expired before the deficiency is noted and corrected the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the depose account for any fee deficiency should be checked. See the Notice of April 7 1-86, (1065 O.G. 31-33).

6. ____ If any additional extension and/or fee is required, charge Account No. ____.

AND/OR

____ If any additional fee for claims is required, charge Account No. ____.

Reg. No.: 32,437



SIGNATURE OF ATTORNEY

Tel. No.: 513-936-8537

Mark F. Smith

(type or print name of attorney)

Smith, Gutttag & Bolin Ltd

P.O. Address

10921 Reed Hartman Highway, Suite 316

Cincinnati, Ohio 45242